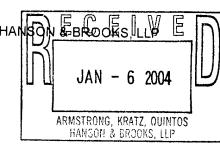
UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.usplu.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO (c) DATE DRAWINGS TOT CLMS IND CLMS 10/677,216 10/03/2003 2171 1186 031732 9 24

CONFIRMATION NO. 8587

23850 ARMSTRONG, KRATZ, QUINTOS, HAI 1725 K STREET, NW **SUITE 1000** WASHINGTON, DC 20006



ILING RECEIPT OC000000011613678*

Date Mailed: 01/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takayuki Uchida, Kawagoe-shi, JAPAN;

Assignment For Published Patent Application

PIONEER CORPORATION, Tokyo, JAPAN:

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-291842 10/04/2002

If Required, Foreign Filing License Granted: 01/03/2004

Projected Publication Date: 04/15/2004

Non-Publication Request: No

Early Publication Request: No

Title

Recording medium storing hierarchical information, information retrieving device, information retrieving system, information retrieving method, information retrieving computer program, and

Preliminary Class

707

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: UCHIDA, Takayuki Attention: Applications Division

Serial Number: 10/677,216 Group Art Unit: 2171

Filed: October 3, 2003 P.T.O. Confirmation No.: 8587

FOR: RECORDING MEDIUM STORING HIERARCHICAL INFORMATION, INFORMATION RETRIEVING DEVICE, INFORMATION RETRIEVING SYSTEM, INFORMATION RETRIEVING METHOD, INFORMATION RETRIEVING COMPUTER PROGRAM, AND RECORDING MEDIUM STORING SUCH COMPUTER PROGRAM

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: January 20, 2004

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the aboveidentified application. The undersigned also respectfully requests that the Patent and Trademark
Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **number of IND CLMS is incorrect**. A copy of the **Utility Patent Application Transmittal** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BRQOKS, LLP

Mel R. Quintos Attorney for Applicant Reg. No. 31,898

MRQ/alw Atty. Docket No. **031732** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

23850
PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt; and Utility Patent Application Transmittal

Q:\FLOATERS\ALW\031732 Req CFR



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney Docket No. 031732 Total Pages

First Named Inventor or Application Identifier

Takayuki UCHIDA

Express Mail Label No.

APPLICATION ELEMENTS FOR: RECORDING MEDIUM STORING HIERARCHICAL INFORMATION, INFORMATION RETRIEVING DEVICE, INFORMATION RETRIEVING SYSTEM, INFORMATION RETRIEVING METHOD.

INFORMATION RETRIEVING METHOD, INFORMATION RETRIEVING COMPUTER PROGRAM,

AND RECORDING MEDIUM STORING SUCH

COMPUTER PROGRAM

ADDRESS TO: Commissioner for Patents

MAIL STOP BOX PATENT APPLICATIONS

P.O. Box 1450

Alexandria, VA. 22313-1450

- 1. [XX] Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)
- 2. [XX] Specification

Total Pages [33]

3. [XX] Drawing(s) (35 USC 113)

Total Sheets [9]

4. [XX] Oath or Declaration

Total Pages [3]

- a. [XX] Newly executed (original)
- b. [] Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. [] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [] Microfiche Computer Program (Appendix)
- 7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. [XX] Assignment Papers (cover sheet and document(s)) to: <u>PIONEER CORPORATION, Tokyo, Japan.</u>
 Please publish the assignee data with the application.
- 9. [] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

TOTAL

Attorney Docket No. 031732

First Named Inventor or Application Identifier

Takayuki UCHIDA

\$1570.00

PAGE 2 OF 3

10. [] English translation Document (if applicable)							
11. [] Information Disclosure Statement [] Copies of IDS Citations							
12. [XX] Preliminary Amendment							
13. [XX] Return Receipt Postcard (MPEP 503)							
14. [] Small Entity Status is claimed.							
15. [XX] Claim for Convention Price a. Priority of <u>Japanese</u> application							
16. [] Other							
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: [] Continuation [] Division [] Continuation-in-part (CIP) of prior application no							
FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 770.00			
Total Claims	24 - 20	4	x \$18.00	\$ 72.00			
Independent Claims	11 - 3	8	x \$86.00	\$ 688.00			
Multiple Dependent Claims	·						
Filing Fee	\$1530.00						
Reduction by 1/2 for small entity		-					
Fee for recording enclosed Assignment	\$ 40.00						

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 031732

First Named Inventor or Application Identifier

Takayuki UCHIDA

PAGE 3 OF 3

[XX] A che	eck in the amount of \$1570.00 is enclosed to cover the filing fee of \$770.00, the fee of \$72.00 for
	extra claims, the fee of \$688.00 for extra independent claims and the assignment recordation fee
	of \$40.00.

]	Please charge our D	eposit Account No. 01-2340 in the	ne total amount of	to cover the
	filing fee and the	assignment recordation fee.	A duplicate of this she	et is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340.

18. CORRESPONDENCE ADDRESS:

Armstrong, Kratz, Quintos, Hanson & Brooks, LLP Suite 1000,1725 K Street, N.W. Washington, D. C. 20006

Tel: (202) 659-2930 Fax: (202) 887-0357

PATENT TRADEMARK OFFICE

Typed or Printed Name MeLR. Quintos

Reg. No. 31,898

Signature

Date: October 3, 2003

MRQ:jaz